

# **Neighborhood-Level Nitrogen Dioxide Inequalities Contribute to Surface Ozone Variability in Houston, Texas**

Isabella M. [Dressel,](https://pubs.acs.org/action/doSearch?field1=Contrib&text1="Isabella+M.+Dressel"&field2=AllField&text2=&publication=&accessType=allContent&Earliest=&ref=pdf) Sixuan [Zhang,](https://pubs.acs.org/action/doSearch?field1=Contrib&text1="Sixuan+Zhang"&field2=AllField&text2=&publication=&accessType=allContent&Earliest=&ref=pdf) Mary Angelique G. [Demetillo,](https://pubs.acs.org/action/doSearch?field1=Contrib&text1="Mary+Angelique+G.+Demetillo"&field2=AllField&text2=&publication=&accessType=allContent&Earliest=&ref=pdf) [Shan](https://pubs.acs.org/action/doSearch?field1=Contrib&text1="Shan+Yu"&field2=AllField&text2=&publication=&accessType=allContent&Earliest=&ref=pdf) Yu, [Kimberly](https://pubs.acs.org/action/doSearch?field1=Contrib&text1="Kimberly+Fields"&field2=AllField&text2=&publication=&accessType=allContent&Earliest=&ref=pdf) Fields, [Laura](https://pubs.acs.org/action/doSearch?field1=Contrib&text1="Laura+M.+Judd"&field2=AllField&text2=&publication=&accessType=allContent&Earliest=&ref=pdf) M. Judd, [Caroline](https://pubs.acs.org/action/doSearch?field1=Contrib&text1="Caroline+R.+Nowlan"&field2=AllField&text2=&publication=&accessType=allContent&Earliest=&ref=pdf) R. Nowlan, [Kang](https://pubs.acs.org/action/doSearch?field1=Contrib&text1="Kang+Sun"&field2=AllField&text2=&publication=&accessType=allContent&Earliest=&ref=pdf) Sun, [Alexander](https://pubs.acs.org/action/doSearch?field1=Contrib&text1="Alexander+Kotsakis"&field2=AllField&text2=&publication=&accessType=allContent&Earliest=&ref=pdf) Kotsakis, [Alexander](https://pubs.acs.org/action/doSearch?field1=Contrib&text1="Alexander+J.+Turner"&field2=AllField&text2=&publication=&accessType=allContent&Earliest=&ref=pdf) J. Turner, and Sally E. [Pusede](https://pubs.acs.org/action/doSearch?field1=Contrib&text1="Sally+E.+Pusede"&field2=AllField&text2=&publication=&accessType=allContent&Earliest=&ref=pdf)[\\*](#page-10-0)



(TRACER-AQ). We further evaluate the application of daily TROPOMI TVCDs to 40  $\overline{0}$ census tract-scale  $NO<sub>2</sub>$  inequalities (May 2018–November 2022). This includes NO<sub>2</sub> Inequalities for Hispanics and Latinos explaining differences between mean daily  $NO<sub>2</sub>$  inequalities and those based on TVCDs oversampled to  $0.01^{\circ} \times 0.01^{\circ}$  and showing daily NO<sub>2</sub> column-surface relationships

weaken as a function of observation separation distance. Second, census tract-scale  $NO_2$  inequalities, city-wide high  $O_3$ , and mesoscale airflows are found to covary using principal component and cluster analysis. A generalized additive model of  $O_3$  mixing ratios versus  $NO<sub>2</sub>$  inequalities reproduces established nonlinear relationships between  $O<sub>3</sub>$  production and  $NO<sub>2</sub>$  concentrations, providing observational evidence that neighborhood-level  $NO<sub>2</sub>$  inequalities and  $O<sub>3</sub>$  are coupled. Consequently, emissions controls specifically in Black, Latinx, and Asian communities will have co-benefits, reducing both  $NO<sub>2</sub>$  disparities and high  $O<sub>3</sub>$  days city wide. KEYWORDS: *Nitrogen dioxide, ozone, TROPOMI, urban air pollution, environmental racism*

## ■ **INTRODUCTION**

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Houston, Texas is a large U.S. city and center for petrochemical refining that faces multiple air quality challenges. Historical and contemporary policies and practices continue to disproportionately offload the environmental costs of industry and transportation on Black, Latinx, and Asian communities, $1,2$  causing measurable inequalities in the distribution of nitrogen dioxide  $(NO<sub>2</sub>)$  and other primary pollutants.<sup>[3](#page-11-0)−[9](#page-11-0)</sup> Houston is also currently ranked among the topten most ozone  $(O_3)$  polluted cities in the U.S., with residents experiencing frequent exceedances of health-based  $O<sub>3</sub>$  standards city wide.<sup>10</sup> Recent analytical advances have produced more spatially detailed descriptions of neighborhood-level urban air pollution inequalities,  $11-15$  $11-15$  $11-15$  including for  $NO_2$ .  $16-18$  $16-18$  $16-18$ However, enhanced spatial information has generally relied on time-averaged and/or short-duration observations, representing conditions that potentially infrequently occur and limiting our understanding of relationships between  $NO<sub>2</sub>$  inequalities and broader urban air quality issues such as  $O_3$ . This has policy relevance as states have regulatory authority around  $O_3$ compliance that they often lack or decline to use regarding air pollution environmental injustice.

 $NO<sub>2</sub>$  is a criteria pollutant regulated by the U.S. Environmental Protection Agency (EPA).  $NO<sub>2</sub>$  is a primary pollutant (or pseudo-primary pollutant) with a summertime atmospheric lifetime as short as a few hours. Primary pollutants are highly spatiotemporally variable, exhibiting atmospheric dispersion gradients of hundreds of meters to  $1-2$  km.<sup>[11,19,20](#page-11-0)</sup> NO<sub>2</sub> is emitted as  $NO_x \ (\equiv NO + NO_2)$ , with vehicles and electricity generation being major  $NO_x$  sources in U.S. cities.<sup>21−[23](#page-11-0)</sup> Houston is also a global hub for petrochemical manufacturing, where refineries and industrial activities contribute a large portion of NO<sub>x</sub> emissions,<sup>[24](#page-11-0)−[26](#page-11-0)</sup> especially in the Houston Ship  $Channel<sub>1</sub><sup>24–26</sup>$  $Channel<sub>1</sub><sup>24–26</sup>$  $Channel<sub>1</sub><sup>24–26</sup>$  $Channel<sub>1</sub><sup>24–26</sup>$  $Channel<sub>1</sub><sup>24–26</sup>$  a residential and industrial area along the Buffalo Bayou River, connecting downtown to Galveston Bay and the Gulf of Mexico ([Figure](#page-1-0) 1). Associated with numerous

 $\mathbf{1}$ 

 $\overline{2}$ 

 $(x10^{15}$  molecules cm<sup>-2</sup>)

3

 $\overline{4}$ 

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Figure 1. Example of census tract-scale GCAS NO2 columns (molecules cm<sup>−</sup><sup>2</sup> ) collected on 25 September 2021 at 2−5 pm (a), TROPOMI TVCDs on the same day, with a mean pixel size of 21  $\pm$  0.6 km<sup>2</sup> (b), and oversampled TROPOMI TVCDs (0.01° × 0.01°) over May 2018– November 2022 (c). Also shown, the percent population for the largest race-ethnicity group in each census tract for Black and African Americans (blue), Hispanics and Latinos (green), and Asians (orange) (d). The inner and outer black lines are the Urbanized Area (UA) and Metropolitan Statistical Area (MSA) boundaries, respectively. The thick black box is the Houston Ship Channel (a). Background map data: Landsat 8 composite (January 2017−June 2018). Corresponding wind conditions are presented in [Figure](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf) S1.

adverse<sup>[27](#page-11-0)−[31](#page-12-0)</sup> and unequal health impacts,<sup>[28](#page-11-0)</sup> NO<sub>2</sub> is a common proxy for toxic combustion and traffic air pollution mixtures in health studies.[32](#page-12-0) High-volume roadways and heavy-duty diesel truck traffic overburden communities of color,  $33,34$  and living near roadways is linked to asthma-related urgent medical visits, pediatric asthma, preeclampsia and preterm birth, and cardiac and pulmonary mortality.[35](#page-12-0)−[40](#page-12-0)

Neighborhood-level  $NO<sub>2</sub>$  inequalities with race and ethnicity can be observed from space using the TROPOspheric Monitoring Instrument (TROPOMI)[.3](#page-11-0),[16,](#page-11-0)[41](#page-12-0)<sup>−</sup>[45](#page-12-0) This was first demonstrated by Demetillo et al., $3$  who showed relative census tract-scale  $NO<sub>2</sub>$  inequalities based on TROPOMI tropospheric vertical column densities (TVCDs) oversampled to 0.01° × 0.01° agreed with results from fine-scale (250 m  $\times$  500 m) airborne remote sensing during the NASA Deriving Information on Surface Conditions from COlumn and VERtically Resolved Observations Relevant to Air Quality (DISCOVER-AQ) in Houston. In addition, spatial patterns in oversampled TROPOMI TVCDs reflected  $NO<sub>2</sub>$  distributions at the surface, a conclusion based on comparisons with in-situ aircraft NO<sub>2</sub> vertical profiles from DISCOVER-AQ and surface measurements.<sup>3</sup> In a subsequent analysis of 52 U.S. cities, Demetillo et al.<sup>[16](#page-11-0)</sup> reported oversampled TROPOMI NO<sub>2</sub> inequalities were invariant with urban racial segregation structure,<sup>[34](#page-12-0)</sup> meaning that TROPOMI resolves inter-tract NO2 differences even when segregated tracts do not spatially aggregate into larger regions. Dressel et al. $41$  found mean daily TROPOMI observations  $(3.5 \text{ km} \times 5.5 \text{ km} \text{ at } \text{nadir})$  without oversampling also captured a majority of tract-scale  $NO<sub>2</sub>$ inequalities compared to fine-scale  $(250 \text{ m} \times 250 \text{ m})$  airborne remote sensing and agreed with relative  $NO<sub>2</sub>$  inequalities based on TVCDs oversampled to  $0.01^{\circ} \times 0.01^{\circ}$  to within associated uncertainties, at least in New York City, New York and Newark, New Jersey. Daily  $NO<sub>2</sub>$  inequalities, when uncertainties are well-characterized, can be analyzed statistically and situated within our broader understanding of urban air quality.<sup>41</sup>

NO<sub>2</sub> is an O<sub>3</sub> precursor and temporary O<sub>3</sub> reservoir ( $O_x \equiv$  $NO<sub>2</sub> + O<sub>3</sub>$ ), with  $O<sub>3</sub>$  production chemistry varying nonlinearly with  $NO<sub>2</sub>$  and the reactivity of volatile organic compounds

(VOCs) with hydroxyl radical (OH).  $O_3$  pollution in Houston is attributed in large part to the combination of high NO*<sup>x</sup>* and reactive VOC emissions by industries in the Ship Channel and gulf breeze airflows.<sup>[26,](#page-11-0)[46](#page-12-0)-[51](#page-12-0)</sup> While O<sub>3</sub> air quality has improved,[52](#page-12-0)<sup>−</sup>[54](#page-12-0) exceedances of the health-based maximum daily average 8-h (MDA8)  $O_3$  National Ambient Air Quality Standard (NAAQS) of 70 ppb are frequent, with 141 exceedance days in the Houston Metropolitan Statistical Area (MSA) over May 2018−November 2022 (our study period).  $O_3$  is a secondary and intermediately long-lived pollutant. As a result,  $O_3$  exhibits less intraurban heterogeneity than  $NO<sub>2</sub>$  and is not generally associated with neighborhood-level disparities.<sup>[55](#page-12-0)</sup> However, because  $NO<sub>2</sub>$  and VOC concentrations are spatiotemporally variable,  $O<sub>3</sub>$  production  $(PO_3)$  chemistry is as well,<sup>[56](#page-12-0)–[58](#page-13-0)</sup> with NO<sub>2</sub> inequalities and city-wide  $O_3$  potentially coupled. In Houston, the largest  $NO_2$ inequalities during DISCOVER-AQ corresponded to a severe  $O_3$  event with MDA8  $O_3$  of 124 ppb (LaPorte Sylvan Beach, 25 September 201[3](#page-11-0)).<sup>3</sup> In New York City–Newark, tract-scale  $NO<sub>2</sub>$  inequalities were positively associated with summertime MDA8  $O_3$  (2018–2021), with Spearman correlation coefficients of  $0.41-0.55$  $0.41-0.55$  $0.41-0.55$  for different population groups.<sup>41</sup>

Here, we describe census tract-scale TROPOMI  $NO<sub>2</sub>$ inequalities and investigate relationships with MDA8  $O<sub>3</sub>$  in Houston. As a first step, we evaluate daily TROPOMI  $NO<sub>2</sub>$ inequalities with race-ethnicity, advancing our understanding of the application of mean daily TROPOMI  $NO<sub>2</sub> TVCDs$  to  $NO<sub>2</sub>$  inequalities developed in New York City–Newark.<sup>[41](#page-12-0)</sup> We compare daily TROPOMI  $NO<sub>2</sub>$  inequalities against measurements of spatiotemporally coincident airborne remote sensing (250 m × 560 m) during the NASA TRacking Aerosol Convection ExpeRiment−Air Quality (TRACER-AQ) in September 2021, discuss differences between relative and absolute mean daily and oversampled TROPOMI  $NO<sub>2</sub>$ inequalities, and present column-surface relationships as a function of measurement separation distance and surface wind conditions. Second, we statistically analyze TROPOMI  $NO<sub>2</sub>$ inequalities (May 2018−November 2022), interpreting covariations between neighborhood-level  $NO<sub>2</sub>$  inequalities,

overall  $NO<sub>2</sub>$  pollution, and urban  $O<sub>3</sub>$  air quality in ways that have policy implications.

# ■ **MEASUREMENTS AND METHODS**

**TROPOMI.** TROPOMI is a hyperspectral spectrometer onboard the sun-synchronous European Space Agency Copernicus Sentinel-5 Precursor (S-5P) satellite.<sup>[59,60](#page-13-0)</sup> NO<sub>2</sub> is retrieved by fitting the 405−465 nm spectral band based on an updated Dutch OMI (Ozone Monitoring Instrument)  $NO<sub>2</sub>$ (DOMINO) algorithm and work from the Quality Assurance<br>for Essential Climate Variables project.<sup>[61](#page-13-0)–[65](#page-13-0)</sup> NO<sub>2</sub> observations are converted to TVCDs via an air mass factor (AMF), which relies on spatially and temporally coarse inputs, e.g., clouds, surface albedo, and  $NO<sub>2</sub>$  profile shape, that can bias  $NO<sub>2</sub>$ TVCDs low under high  $N\overline{O}_2$  conditions.<sup>[66](#page-13-0)</sup> The application of TROPOMI  $NO<sub>2</sub>$  TVCDs to census tract-scale  $NO<sub>2</sub>$  inequalities has been evaluated through comparison with airborne remote sensing that resolves  $NO<sub>2</sub>$  distance decay gradients, both in terms of TVCDs first oversampled to  $0.01^{\circ} \times 0.01^{\circ}$ and daily  $TVCDs<sub>1</sub><sup>41</sup>$  $TVCDs<sub>1</sub><sup>41</sup>$  $TVCDs<sub>1</sub><sup>41</sup>$  with TROPOMI capturing similar relative but lower absolute population-weighted census tract-scale  $NO<sub>2</sub>$ inequalities. While the sensitivity of TROPOMI is lower near the surface,  $67,68$  there are no physical processes in the free troposphere that maintain intraurban gradients corresponding to neighborhood-level race-ethnicity. TROPOMI TVCDs have been shown to reflect intraurban spatiotemporal  $NO<sub>2</sub>$ variability at the surface, a critical analytical requirement for informing decision making around environmental racism. $3,16,41$  $3,16,41$  $3,16,41$  $3,16,41$ Based on 144 in-situ NO<sub>2</sub> vertical profiles throughout Houston from DISCOVER-AQ, Demetillo et al.<sup>[3](#page-11-0)</sup> reported that the slope of the linear fit between the measured full column (extending up to 3 km) and  $NO<sub>2</sub>$  column within the convective boundary layer was  $0.98 \pm 0.15$  ( $r = 0.99$ ), with no significant locationspecific differences. Multiple authors have shown TROPOMI and OMI  $NO<sub>2</sub> TVCDs$  correlate with surface-level nitrogen dioxide  $(NO<sub>2</sub><sup>*</sup>)$  measurements and, more importantly, that correlation coefficients decrease with increasing spatial separation between columns and monitors on the scales of  $\rm NO_2$  spatial variability.  $^{3,16,41,69}$  $^{3,16,41,69}$  $^{3,16,41,69}$  $^{3,16,41,69}$  $^{3,16,41,69}$ 

From 1 May 2018 to 5 August 2019, the TROPOMI nadir spatial resolution was 3.5 km  $\times$  7 km; from 6 August 2019 to present, the nadir spatial resolution improved to 3.5 km  $\times$  5.5 km[.70](#page-13-0) The S-5P satellite crosses the equator at ∼1:30 pm local time (LT) and overflies Houston at 12−3 pm LT, typically once but occasionally twice daily. When there are two TROPOMI overpasses over Houston on the same day, we use the first overflight only. We use current Level 2  $NO<sub>2</sub>$ TVCDs (version 02.04.00) with quality assurance values  $>0.75$ , as recommended,<sup>[71](#page-13-0)</sup> from operationally reprocessed (RPRO, collection identified: '03′, 1 May 2018−25 July 2022) and offline (OFFL, 26 July 2022−30 November 2022) products. A key update in version 02.04.00 is the use of a surface albedo climatology derived from TROPOMI observations rather than the coarse spatial resolution OMI surface albedo climatology  $(0.5^{\circ} \times 0.5^{\circ})$ .<sup>[71](#page-13-0)</sup> TROPOMI NO<sub>2</sub> inequalities can be sensitive to product version; for example, Dressel et al.<sup>41</sup> found census tract-scale  $NO<sub>2</sub>$  inequalities based on  $NO<sub>2</sub>$ TVCDs reprocessed on the S-5P Products Algorithm Laboratory (S5P-PAL) system were 3−6 points (10−20%) higher over the New York City−Newark urbanized area (UA) than those computed using a then current version of operational product (version 01.02.02). We compared  $NO<sub>2</sub>$ inequalities using version 02.04.00 (RPRO) and S5P-PAL

reprocessed TVCDs over January−December 2019 but find results were statistically indistinguishable.

**GCAS.** The Geostationary Coastal and Air Pollution Events (GEO-CAPE) Airborne Simulator (GCAS) makes hyperspectral nadir-looking measurements of backscattered solar radiation in the ultraviolet and visible in two channels at wavelengths 300−490 nm (optimized for air quality) and 480–900 nm (optimized for ocean color).<sup>[72](#page-13-0)</sup> Each channel uses a two-dimensional (2D) charge-coupled device (CCD) array detector, where one CCD dimension provides spectral coverage and the other the cross-track spatial coverage across a ∼45° field of view in the air quality channel. GCAS was developed as a technology-demonstration instrument for the GEOstationary Coastal and Air Pollution Events (GEO-CAPE) decadal survey and functions as a satellite analog in NASA airborne research. GCAS NO<sub>2</sub> column retrievals are validated over urban areas and consist of a two-step approach similar to algorithms used for other major satellite instruments, including TROPOMI.<sup>[73](#page-13-0)-[75](#page-13-0)</sup> Briefly, NO<sub>2</sub> differential slant columns are retrieved fitting across 425−460 nm using the QDOAS spectral fitting package<sup>[76](#page-13-0)</sup> and a reference spectrum measured at a nearby location away from NO*<sup>x</sup>* emissions sources. The AMF is largely a function of viewing and solar geometries, surface reflectance, and atmospheric and trace gas vertical profiles.<sup>[73,77](#page-13-0)</sup> GCAS retrievals for TRACER-AQ use the NASA GEOS-CF model analyses  $(0.25^{\circ} \times 0.25^{\circ})$ .<sup>[78](#page-13-0)</sup> Other components of the retrieval follow Judd et al., $^{77}$  where column uncertainties over New York City−Newark were ±25% and unbiased compared to coincident Pandora measurements, ground-based total  $NO<sub>2</sub>$  columns with relatively low uncertainties from AMFs that do not vary with  $NO<sub>2</sub>$  vertical profile shape or surface albedo.<sup>79</sup> During TRACER-AQ, GCAS  $NO<sub>2</sub>$  columns were averaged to 250 m (cross-track)  $\times$  560 m (along track). GCAS flew onboard the NASA Johnson Space Center Gulfstream V (JSC GV) research aircraft on 11 days in September 2021. We use measurements from the 27 cloud-free flights sampling at least 60% of census tracts in the Houston MSA ([Table](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf) S1). GCAS flew a repeated flight pattern in the morning (∼9−11:30 am LT), midday (∼11:30 am−2 pm LT), and afternoon (∼2:30−5 pm LT), sampling 83 ± 4% (±1*σ*) of tracts with similar, but not identical, demographics to the MSA ([Tables](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf) S2−S3).

Surface  $NO_2$ <sup>\*</sup>,  $O_3$ , and Meteorological Measure**ments.**  $NO_2^*$  observations are collected at 23 stations across the MSA ([Figure](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf) S2a) and provided through the U.S. EPA Air Quality System.<sup>[80](#page-13-0)</sup> NO<sub>2</sub>\* is mostly measured by decomposing  $NO<sub>2</sub>$  to  $NO$  over a heated molybdenum catalyst and detecting NO by chemiluminescence, a technique with a known positive interference from other nitrogen compounds, which also thermally decompose across the catalyst at non-unity efficiency.<sup>[81](#page-13-0)−[83](#page-13-0)</sup> The term  $NO<sub>2</sub>$ <sup>\*</sup> acknowledges this interference, which, while affecting accuracy, has a smaller effect on precision.<sup>[84](#page-14-0)</sup> Two stations in the MSA are near-roadway monitors. We use  $O_3$  mixing ratios measured at 21 stations, many of which also house  $NO_2$ <sup>\*</sup> instruments [\(Figure](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf) S2b), converted to MDA8  $O_3$ . We use 1-h measurements of wind speed (resultant), wind direction, and air temperature and daily maximum temperatures collected at 23 stations [\(Figure](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf) [S2c](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf)) with observations on at least 50% of days during  $O_3$ season, defined in Houston as March-November,<sup>[85](#page-14-0)</sup> when MDA8  $O_3$  NAAQS exceedances are most likely to occur.

**Census Tract-Scale Inequalities.** We calculate areaweighted mean  $NO<sub>2</sub> TVCDs$  within 2020 census tract

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Figure 2. Spatiotemporally coincident (±30 min) relative (%) (blue circles) and absolute (molecules cm<sup>−</sup><sup>2</sup> ) (green diamonds) GCAS and TROPOMI NO<sub>2</sub> inequalities during TRACER-AQ for Black and African Americans (a), Hispanics and Latinos (b), and Asians (c) in comparison to non-Hispanic/Latino whites with slopes (*m*), based on an unweighted bivariate linear regression, and Pearson correlation coefficients (*r*) of relative (blue) and absolute (green) inequalities.

polygons across the Houston UA and MSA and population weight tract-average TVCDs using race and ethnicity data from the U.S. Census 5-year 2020 American Community Survey (ACS). The ACS subsamples census unit populations and applies a complex weighting process to account for variability in tract-level sampling rates and differential group response rates. The weighting process prioritizes accuracy over precision, which we manage using population-weighting and aggregation across the UA and MSA.<sup>[86,87](#page-14-0)</sup> Tract-scale  $NO<sub>2</sub>$ inequalities with race-ethnicity are reported as relative (%) and absolute (molecules cm<sup>−</sup><sup>2</sup> ) differences between populationweighted NO<sub>2</sub> TVCDs (eq  $S1<sup>3,18,88</sup>$  $S1<sup>3,18,88</sup>$  $S1<sup>3,18,88</sup>$  $S1<sup>3,18,88</sup>$  $S1<sup>3,18,88</sup>$  $S1<sup>3,18,88</sup>$ ) for non-Hispanic/Latino Black and African Americans, Hispanics and Latinos of all races, and non-Hispanic/Latino Asians compared to non-Hispanic/Latino whites in tracts with populations equal to or greater than the mean across tracts with observations.  $NO<sub>2</sub>$ differences with race and ethnicity are treated as a proxy for racism.

#### ■ **RESULTS AND DISCUSSION**

**Evaluating Daily TROPOMI NO2 Inequalities in Houston, Texas.** We first compare spatially and temporally coincident daily census tract-scale TROPOMI  $NO<sub>2</sub>$  inequalities against those computed using GCAS  $NO<sub>2</sub>$  columns, which have sufficient spatial resolution to observe  $NO<sub>2</sub>$  dispersion gradients. Correspondence between daily TROPOMI and GCAS inequalities is described using Pearson correlation coefficients and slopes derived from an unweighted bivariate linear regression of simultaneous observations, defined as occurring within  $\pm 30$  min (Figure 2). TROPOMI and GCAS NO2 inequalities are strongly correlated, with *r* values of 0.70− 0.83 (relative) and 0.87−0.91 (absolute), indicating daily TROPOMI  $NO<sub>2</sub>$  TVCDs reflect the variability of spatially detailed GCAS observations day to day. Regression slopes are  $0.66 \pm 0.15$  to  $1.08 \pm 0.25$  for relative and  $0.56 \pm 0.11$  to 0.77 ± 0.14 for absolute inequalities; therefore, daily TROPOMI NO2 TVCDs capture a major portion of tract-scale inequalities in Houston. Slopes for relative inequalities are larger than for absolute inequalities, with relative differences easier to distinguish using measurements coarser than distance decay gradients. This is consistent with results from daily observations in New York City−Newark[41](#page-12-0) and reinforces conclusions based on oversampled TVCDs in Houston by Demetillo et  $al.,<sup>3</sup>$  where TROPOMI resolved comparable

relative but lower absolute inequalities than GCAS during DISCOVER-AQ.

We test the sensitivity of daily TROPOMI census tract-scale NO<sub>2</sub> inequalities to TROPOMI observation spatial resolution by comparing  $NO<sub>2</sub>$  inequalities across the natural variability in daily mean TROPOMI pixel size, ranging 20-89 km<sup>2</sup> with a mean of 39  $\pm$  16 km<sup>2</sup> ( $\pm$ 1 $\sigma$  standard deviation) UA wide (May 2018−November 2022). Because daily inequalities are sensitive to observation coverage, we first remove days with NO<sub>2</sub> observations in fewer than 20% of tracts in the domain (discussed below). We group observations according to thresholds defined by pixel-size quintiles, comparing mean inequalities for each threshold to those derived from the smallest 20% of pixels using 95% confidence intervals from bootstrapped distributions sampled with replacement  $10<sup>4</sup>$ times [\(Table](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf) S4). We do not observe statistically significant differences in mean daily TROPOMI inequalities outside of the 95% confidence intervals compared to the smallest pixels. The lack of pixel area dependence suggests most city-wide  $NO<sub>2</sub>$  inequalities, and those that are observed by TROPOMI, are driven by spatially clustered NO*<sup>x</sup>* sources. TROPOMI pixels are larger than the length scales of individual dispersion gradients; however, when NO*<sup>x</sup>* sources are clustered into source regions, their gradients also spatially aggregate. TROPOMI resolves  $NO<sub>2</sub>$  gradients on the scale of these source regions, if not individual sources, with the latter causing the information loss compared to GCAS.

Observed  $NO<sub>2</sub>$  inequalities based on TVCDs are sensitive to the number of census tracts with  $NO<sub>2</sub>$  measurements across the domain (UA or MSA).<sup>[41](#page-12-0)</sup> When observation coverage is low, inequalities tend to be based on TVCDs in census tracts less representative of city-wide demographics. In this case, census tracts where high numbers of residents are in population groups in the majority with respect to city area (not necessarily population count) are overrepresented in the calculation. The net effect is that population-weighted inequalities are based on census tracts that have higher populations of non-Hispanic whites than in the domain on average. In New York City–Newark, Dressel et al.<sup>[41](#page-12-0)</sup> found low observation coverage biased  $NO<sub>2</sub>$  inequalities low by 6-7 percentage points and, as a result, identified minimum coverage threshold requirements for daily mean  $NO<sub>2</sub>$  inequalities. We test sensitivity of mean daily TROPOMI  $NO<sub>2</sub>$ inequalities in Houston, first applying a minimum coverage

<span id="page-4-0"></span>



requirement of 20% of census tract with observations, then binning daily TVCDs by >20%, >40%, >60%, and >80% census tracts with observations. When bootstrap 95% confidence intervals (calculated with replacement  $10^4$  times) for a lower coverage bin do not overlap with the 95% confidence interval for the >80% coverage bin, we identify a significant difference between inequalities. We select thresholds separately for each metric as the lowest coverage bin without a significant difference. Coverage thresholds range 20−40% for relative and absolute inequalities for each metric ([Table](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf) S5) and are applied throughout. Mean daily TROPOMI  $NO<sub>2</sub>$  inequalities in Houston exhibit less observational coverage sensitivity than in New York City− Newark.<sup>4</sup>

We compare mean daily  $NO<sub>2</sub>$  inequalities to results based on  $NO<sub>2</sub> TVCDs$  on the same subset of days oversampled to  $0.01^{\circ}$  $\times$  0.01° (~1 km  $\times$  1 km) using a physics-based algorithm<sup>89</sup> prior to census tract averaging (Table 1). Oversampling averages measurements over time with large and overlapping pixels to a finer grid, allowing sub-pixel-scale spatial features to be recovered.<sup>[89](#page-14-0)</sup> The oversampling approach used here treats pixel-level observations as sensitivity distributions using a generalized two-dimensional super Gaussian spatial response function, appropriate for imaging grating spectrometers like TROPOMI. Relative mean daily and oversampled  $NO<sub>2</sub>$ inequalities are equal to within associated uncertainties; however, absolute  $NO<sub>2</sub>$  inequalities in mean daily TVCDs, which are already low relative to fine-scale airborne remote sensing [\(Figure](#page-3-0) 2), are as much as ∼30% higher than oversampled TVCDs. We see multiple possible explanations for this: oversampling is not enhancing spatial gradients relevant to describing census tract-scale  $NO<sub>2</sub>$  inequality, which is instead determined by the spatial resolving power set by pixel size; there is limited  $NO<sub>2</sub>$  variability on scales of 1–4 km as relevant to  $NO<sub>2</sub>$  inequalities; and/or there is compensating information in the daily inequalities lost through time averaging.

First, we compare  $NO<sub>2</sub>$  inequalities based on oversampled TVCDs over a range of grid sizes, finding no significant differences in relative or absolute inequalities when we oversample to  $0.01^{\circ} \times 0.01^{\circ}$ ,  $0.02^{\circ} \times 0.02^{\circ}$ ,  $0.04^{\circ} \times 0.04^{\circ}$ 

(the approximate TROPOMI nadir resolution), and  $0.06^{\circ} \times$ 0.06 $^{\circ}$ . In an analysis of 52 major U.S. UAs, Demetillo et al.<sup>16</sup> also reported small differences in relative and absolute census tract-scale  $NO<sub>2</sub>$  inequalities using TROPOMI TVCDs oversampled to  $0.01^{\circ} \times 0.01^{\circ}$  and  $0.04^{\circ} \times 0.04^{\circ}$ , with the exceptions of the narrow coastal Californian cities of Oakland, San Diego, and San Francisco, where  $NO<sub>2</sub>$  inequalities based on TVCDs oversampled to  $0.04^{\circ} \times 0.04^{\circ}$  were biased low by 8−22% compared to TVCDs oversampled to 0.01° × 0.01° suggesting oversampling enhances spatial gradients from coarser pixels when that variability exists.<sup>[16](#page-11-0)</sup> Second, we take advantage of the natural variability in TROPOMI pixel orientations, separately comparing  $NO<sub>2</sub>$  inequalities based on oversampled TVCDs to mean  $NO<sub>2</sub>$  TVCDs collected within individual S-5P orbits, thus eliminating the oversampling pixel overlap requirement. On average, for the 15 S-5P satellite orbits that fully cover the Houston UA, relative  $NO<sub>2</sub>$ inequalities from oversampled and mean  $NO<sub>2</sub> TVCDs$  are similar; however, absolute  $NO<sub>2</sub>$  inequalities of mean TVCDs are ∼30% higher than oversampled TVCDs for Black and African Americans and Hispanics and Latinos (Table 1; [Table](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf) [S6](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf)), indicating the information loss is not simply because of time averaging, but smoothing during oversampling. In [Figure](#page-5-0) [3](#page-5-0), we compare mean and median distributions of tract-scale daily and oversampled  $(0.01^{\circ} \times 0.01^{\circ})$  TROPOMI TVCDs, fit assuming distributions are lognormal as is characteristic for  $NO<sub>2</sub>$ . Mean daily measurements span a wider range of  $NO<sub>2</sub>$ conditions and retain more observations in the high tail of the distribution than oversampled TVCDs, with high  $NO<sub>2</sub>$  values driving inequalities. Sun et al. $\frac{89}{9}$  report that oversampling, including with the physics-based algorithm used here, is more accurate when the grid is fine relative to a gradient with a smooth spatial response, for example, a city edge, while pixel means are more accurate for coarse grids and sharper spatial responses. Our results suggest absolute census tract-scale  $NO<sub>2</sub>$ inequalities are more accurately represented using means, with TROPOMI pixels and typical oversampling grids being large relative to scale of dispersion. Research using oversampled NO2 TVCDs to identify NO*<sup>x</sup>* point sources and infer NO*<sup>x</sup>* emissions and  $NO<sub>2</sub>$  lifetimes have improved absolute estimates by rotating spatially variable  $NO<sub>2</sub>$  plumes to a common wind

<span id="page-5-0"></span>

Figure 3. Lognormal distributions of census tract-average TROPOMI NO2 TVCDs in the Houston UA (May 2018−November 2022). Left axis: TVCDs oversampled to  $0.01^{\circ} \times 0.01^{\circ}$  (black line). Right axis: mean (brown filled circles) and median (cyan open circles) of distributions of daily observations.

direction,<sup>[90](#page-14-0)−[93](#page-14-0)</sup> an aspatial solution not applicable to describing census tract-scale  $NO<sub>2</sub>$  inequalities, although potentially useful for informing related decision-making.

To describe spatiotemporal variability in column-surface relationships, we compare daily tract-average TROPOMI TVCDs and daytime (12−3 pm LT)  $NO<sub>2</sub>$ <sup>\*</sup> surface mixing ratios across the MSA as a function of their separation distance using Pearson correlation coefficients (*r*) over May 2018− November 2022 (Figure 4).<sup>[3,16](#page-11-0),[41](#page-12-0),[69](#page-13-0)</sup> We require  $NO<sub>2</sub><sup>*</sup>$  mixing



Figure 4. Median daily Pearson correlation coefficients between tractaveraged  $NO<sub>2</sub> TVCDs$  and surface  $NO<sub>2</sub>$ <sup>\*</sup> mixing ratios as a function of observation separation distance (km) on all days over May 2018− November 2022 (brown solid line) and on days in low (light blue dashed line) and high (black dotted line) quartile winds. We indicate the mean number of census tracts in the daily correlation at that distance each day, with similar statistics on low and high wind days.

ratio data at four or more monitors in each 1-km distance bin per day and exclude near-roadway monitors, which are subject to hyperlocal effects. Surface  $NO_2^*$  and directly overhead TVCDs (defined as tract center points within 1 km of an  $NO<sub>2</sub>$ <sup>\*</sup> monitor) are strongly correlated, with median *r* values of 0.62. Correlation coefficients decrease as the distance between observations increases, falling to 0.54 on average when tractaverage TVCDs are 2−6 km from the nearest monitor and 0.48 at 7−10 km. This *r*-distance dependence indicates spatial variability in daily TROPOMI TVCDs follows  $NO<sub>2</sub>^*$  patterns at the surface, with *r* decreases at 1−2 km consistent with length scales of  $NO<sub>2</sub>$  dispersion gradients. If we consider uncertainties as standard mean errors based on the number of days with observations included in the daily average, uncertainties in  $r$  are typically  $\pm 0.01$  and mean differences in

*r* with distance are significant. However, column-surface relationships are variable daily, with standard deviations  $(1\sigma)$ of ∼0.3 in each distance bin. Daily correlation coefficients are lower than for oversampled TROPOMI TVCDs as reported in Demetillo et al., $3$  especially at 1 km, meaning time averaging masks temporal variability in column-surface agreement. We also sort daily observations in the highest  $(>3.9 \text{ m s}^{-1})$  and lowest (<2 m s −1 ) UA-wide mean daytime (12−3 pm LT) surface wind quartiles as a function of distance, as wind is a physical control over the inter-tract  $NO<sub>2</sub>$  distribution. Daily column-surface correlations covary with wind speeds physically realistically, with stronger *r* values for slower winds and smaller *r* values with faster winds at all observation separation distances.

**Daily NO2 Inequalities.** We calculate daily TROPOMI census tract-average  $NO<sub>2</sub>$  inequalities over May 2018– September 2022 across the Houston UA and MSA ([Table](#page-4-0) 1; [Figure](#page-6-0) 5). Mean daily UA-level population-weighted  $NO<sub>2</sub>$ TVCDs are  $8 \pm 1\%$  and  $16 \pm 1\%$  higher for Black and African Americans and Hispanics and Latinos compared to non-Hispanic/Latino whites, respectively. Neighborhoods near the Houston Ship Channel ([Figure](#page-1-0) 1) with large populations of Black and African Americans and Hispanics and Latinos, e.g., Pasadena, Fifth Ward, Harrisburg/Manchester, and Galena Park, often have the highest  $NO<sub>2</sub>$  concentrations. Mean population-weighted  $NO<sub>2</sub>$  TVCDs for each group including non-Hispanic/Latino whites are shown in [Table](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf) [S7](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf). Inequalities for Black and African Americans and Hispanics and Latinos increase to  $17 \pm 1\%$  and  $23 \pm 1\%$ , respectively, at the MSA level. Mean daily population-weighted  $NO<sub>2</sub> TVCDs$ for Asians equal those for non-Hispanic/Latino whites within the UA but are  $9 \pm 1\%$  higher across the MSA, mainly due to the inclusion of the large Asian population around Sugar Land in southwest Houston [\(Figure](#page-1-0) 1d). We observe larger inequalities at the MSA level, reflecting urban-suburban differences, compared to the UA, representing intraurban NO<sub>2</sub> differences.<sup>[3](#page-11-0),[94](#page-14-0)</sup> UA and MSA-level relative (*r* = 0.83− 0.92) and absolute  $(r = 0.88 - 0.95)$  inequalities are strongly correlated ([Figure](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf) S3). Errors for mean inequalities are 95% confidence intervals, which we derive from bootstrapped distributions sampled with replacement  $10^4$  times. Absolute census tract-scale  $NO<sub>2</sub>$  inequalities are often lower than the precision of individual TROPOMI  $NO<sub>2</sub> TVCDs$ , which have a median daily pixel-level precision of 9.9  $\times$  10<sup>14</sup> molecules cm<sup>-2</sup> (approximately 30% of mean  $NO<sub>2</sub> TVCDs$ ) over May 2018– November 2022 in the Houston UA. However, this imprecision improves through spatial and temporal averag-ing,<sup>[95](#page-14-0)</sup> done here through population weighting over all census tracts in the UA or MSA and by reporting daily inequality results as means over many days. Sampling and nonsampling (e.g., measurement, coverage, nonresponse, and processing errors) errors in the ACS influence the accuracy and precision of tract-scale  $NO<sub>2</sub>$  inequalities as well and, when random, also improve through averaging to higher geographic levels.

We report  $NO<sub>2</sub>$  inequalities during 27 TRACER-AQ flights using GCAS separately in the late morning, midday, and afternoon [\(Table](#page-6-0) 2). Relative inequalities are not statistically significantly different with time of daytime, although there may be a tendency toward lower relative inequalities at midday. Absolute  $NO<sub>2</sub>$  inequalities are significantly higher in the morning than midday and afternoon, and there are multiple factors that could influence these differences. While wind speeds are similar on average during all flights, the atmosphere

#### <span id="page-6-0"></span>**ACS ES&T** Air *Air* **Article** *Article* **<b>***Article Article Article Article*



Figure 5. Daily UA-level TROPOMI NO<sub>2</sub> inequalities (May 2018–November 2022). Relative (%) and absolute (molecules cm<sup>−2</sup>) inequalities on all days (light blue and light green, respectively) and on days meeting metric-specific coverage thresholds (bright blue and dark green, respectively) for Black and African Americans (a), Hispanics and Latinos (b), and Asians (c). Bootstrap mean inequalities, sampled with replacement  $10^4$  times, are reported with uncertainties as 95% confidence intervals.

Table 2. Relative and Absolute Mean GCAS NO<sub>2</sub> Inequalities in the Houston MSA During TRACER-AQ in the Morning (9– 11:30 am LT), at Midday (11:30 am−2 pm LT), and in the Afternoon (2:30−5 pm LT); Relative and Absolute Mean Daily TROPOMI NO2 Inequalities (May 2018−November 2022) along a Representative TRACER-AQ Flight Raster (Afternoon, 25 September 2021); and GCAS Inequalities along Spatially Coincident TRACER-AQ and DISCOVER-AQ Tracts during TRACER-AQ (2021) and DISCOVER-AQ (2013)*<sup>a</sup>*



is typically more stable in morning than at midday, affecting the NO<sub>2</sub> distribution in the nearfield of NO<sub>x</sub> sources,<sup>[19](#page-11-0)</sup> with convective mixing common in the afternoon in Houston. The surface mixed layer height is typically shallower in the morning than afternoon; however, this will have a larger effect on surface concentrations than TVCDs. We also expect higher rush hour  $NO_x$  emissions and longer  $NO_2$  chemical lifetimes<sup>96</sup> in the morning and late afternoon compared to midday. Diurnal variability in absolute inequalities has implications for interpreting observations from TROPOMI, which collects measurements at 12−3 pm LT over Houston, and the recentlylaunched TEMPO (Tropospheric Emissions: Monitoring of Pollution) instrument, which scans North America hourly during daylight hours from onboard a geostationary satellite.<sup>5</sup> Our analysis in the New York City−Newark UA found fewer statistically significant morning-afternoon differences in absolute  $NO<sub>2</sub>$  inequalities,<sup>[41](#page-12-0)</sup> suggesting there is more to learn from TEMPO concerning temporal variability in the  $NO<sub>2</sub>$ distribution. Because GCAS subsampled the MSA, we also

report mean daily TROPOMI NO<sub>2</sub> inequalities (May 2018-November 2022) along a representative TRACER-AQ flight for comparison (Table 2).

GCAS NO<sub>2</sub> measurements in Houston collected during TRACER-AQ and DISCOVER-AQ offer observational insight into trends from 2013 to 2021 (Table 2). We compare weekday population-weighted, tract-average  $NO<sub>2</sub>$  columns in spatially coincident census tracts along representative TRAC-ER-AQ and DISCOVER-AQ flight patterns (SI [Appendix](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf) 1; Figure S4; [Tables](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf) S8−S11). We calculate inequalities using the 2020 ACS for both DISCOVER-AQ and TRACER-AQ to allow comparisons across the same tracts and isolate effects of changes in  $NO<sub>2</sub>$  concentrations from demographics. We find relative  $NO<sub>2</sub>$  inequalities are statistically indistinguishable, with overlapping 95% confidence intervals for  $NO<sub>2</sub>$  inequalities in 2013 and 2021 and by the Wilcoxon rank sum test, a nonparametric two-sample t-test. While absolute inequalities were always lower during TRACER-AQ than DISCOVER-AQ, they were variable day to day, in addition to the relatively small <span id="page-7-0"></span>number of aircraft observations, such that we lack the precision on their means (not the observations themselves) to interpret the differences. UA-wide mean  $NO_2^*$  mixing ratios were slightly higher and more variable during DISCOVER-AQ (6.7  $\pm$  6.2 ppb) than TRACER-AQ flights (6.0  $\pm$  4.3 ppb); winds were slower during TRACER-AQ  $(2.1 \pm 0.8 \text{ m s}^{-1})$  than DISCOVER-AQ  $(3.1 \pm 1.2 \text{ m s}^{-1})$ . Slower mean winds during TRACER-AQ may have worsened inequalities, while lower  $NO<sub>2</sub><sup>*</sup> corresponds to lower absolute inequalities (discussed$ below). Previous work has shown downward NO*<sup>x</sup>* emissions trends have not reduced relative  $NO<sub>2</sub>$  inequalities in U.S. cities using  $NO<sub>2</sub>$  empirical models;<sup>12,[88](#page-14-0)</sup> however, this has not yet been demonstrated with observations directly to our knowledge.

Relationships between daily UA-level census tract-scale TROPOMI  $NO<sub>2</sub>$  inequalities, surface winds, and overall  $NO<sub>2</sub>$ pollution (Table 3; [Figures](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf) S5−S7) underscore the need for

Table 3. Spearman Rank Correlation Coefficients (2018− 2022) with  $p < 0.050$  in Winter and  $O_3$  Season: Daily Absolute TROPOMI Inequalities and Daytime (12−3 pm LT) Surface Wind Speed, NO<sub>2</sub><sup>\*</sup> Mixing Ratios, and Daily UA-Level TROPOMI  $NO<sub>2</sub>$  TVCDs and Daily Relative TROPOMI Inequalities and Daytime NO2**\*** Mixing Ratios and UA-Level TROPOMI  $NO<sub>2</sub> TVCDs$ 



locally targeted controls over sector-based approaches to reducing  $NO<sub>2</sub>$  disparities. Absolute  $NO<sub>2</sub>$  inequalities are moderately negatively associated with wind speeds for most groups, as faster winds distribute NO2 away from NO*<sup>x</sup>* sources, showing  $NO<sub>2</sub>$  inequalities arise from the distribution of  $NO<sub>x</sub>$ sources, as well as that daily  $NO<sub>2</sub>$  inequalities vary meaningfully with relevant atmospheric conditions. Absolute  $NO<sub>2</sub>$ inequalities moderately correlate with UA-mean surface  $NO<sub>2</sub>$ <sup>\*</sup> and  $NO<sub>2</sub> TVCDs$  in the winter and during  $O<sub>3</sub>$  season for most metrics. At the same time, relative inequalities are more-weakly associated with overall  $NO<sub>2</sub>$ . Differences in these correlations for absolute and relative  $NO<sub>2</sub>$  inequalities manifest from  $NO<sub>x</sub>$ sources being systematically located in Black and African American and Hispanic and Latino, as  $NO<sub>2</sub>$  concentrations in the nearfield of emitters are more temporally variable than the physical locations of NO*<sup>x</sup>* sources. As a consequence, emissions reductions that maintain unequal source distributions, such as sector-based approaches, lower overall  $NO<sub>2</sub>$  pollution and absolute differences between groups but have little effect on relative inequalities, which require location-specific policy interventions.

quality. First, applying an established approach to understanding the influence of meteorology on  $O<sub>3</sub>$  variability in Houston, we disaggregate observations by winds using principal component and cluster analysis,[48,53,](#page-12-0)[99](#page-14-0)−[103](#page-14-0) presenting cluster characteristics that include census tract-scale  $NO<sub>2</sub>$ inequalities. We generate one two-dimensional principal component for mean daytime (12−3 pm LT) u and v resultant winds during  $O_3$  season, which captures 88% of the observed variability in u and v components. We then apply *k*means clustering with 1,000 iterations to generate eight wind clusters, with the first centroid selected at random, from the iteration with the lowest total sum of distances ([Figure](#page-8-0) 6; [Table](#page-8-0) 4). We selected the optimal number of clusters, allowed to range 1−10, using the Calinski-Harabasz criterion, maximizing the ratio of the between-cluster variance to the withincluster variance with respect to the number of clusters.<sup>104</sup> We confirmed the identified number of clusters using the elbow method with  $10<sup>3</sup>$  iterations, with the optimal number of clusters based on the variance explained.<sup>[105](#page-14-0)</sup> Eight clusters balanced clarity and complexity relevant to relationships between  $NO<sub>2</sub>$  inequalities and MDA8  $O<sub>3</sub>$ . Missing daytime winds are filled using measurements from the closest proximity monitor with observations. We renamed the clusters 1−8 from most to least frequent MDA8  $O_3$  NAAQS exceedances. The analysis reproduces results in the literature, with high  $O<sub>3</sub>$  days associated with easterly and east-southeasterly winds.<sup>[48,53,](#page-12-0)[100,103](#page-14-0)</sup> [Figure](#page-8-0) 6 highlights the variability in  $NO<sub>2</sub>$ spatial distributions lost through averaging [\(Figure](#page-1-0) 1c), with results based on long-term or annual averages representing conditions that infrequently occur.

**NO2 Inequalities and O3 Air Quality.** We use daily observations of  $NO<sub>2</sub>$  inequalities to investigate relationships between neighborhood-level  $NO<sub>2</sub>$  distributions and  $O<sub>3</sub>$  air

MDA8 O3 NAAQS exceedances are most frequent in cluster 1, when winds are on average slow and easterly corresponding to the largest absolute daily TROPOMI raceethnicity inequalities ([Table](#page-8-0) 4). Cluster 1 is the primary wind condition in which we observe statistically significant UA-level inequalities for Asians, with  $NO<sub>2</sub>$  from the Ship Channel transported toward Sugar Land in southwest Houston and stagnant NO*<sup>x</sup>* emissions around the nearby coal-fired W.A. Parrish Generating Station. This explains why  $NO<sub>2</sub>$  inequalities for Asians are not strongly correlated with wind speed or overall  $NO<sub>2</sub>$  pollution level (Table 3). MDA8  $O<sub>3</sub>$  NAAQS exceedances are also common in clusters 2−4, when winds are slow  $(\sim 1.6 \text{ m s}^{-1})$  and east-southeasterly, southerly, and westerly, with elevated UA-level absolute daily TROPOMI  $NO<sub>2</sub>$  inequalities for all groups except Asians. Clusters 5–8 include the fewest number of  $O_3$  NAAQS exceedances, occurring on <5% of days. These clusters are characterized by faster winds, lower UA-mean  $NO<sub>2</sub>$ <sup>\*</sup>, and lower absolute tract-scale daily TROPOMI NO<sub>2</sub> inequalities. Wind conditions have less influence on relative  $NO<sub>2</sub>$  inequalities, as winds do not affect the locations of NO*<sup>x</sup>* sources. Observed correspondence between MDA8  $O_3$  and absolute census tract-scale  $NO<sub>2</sub>$  inequalities indicates similar atmospheric conditions exacerbate both phenomena and/or high  $O_3$  and NO<sub>2</sub> inequalities are linked chemically.

 $PO_3$  varies nonlinearly with  $NO_2$  concentrations [\(Figure](#page-9-0) [7](#page-9-0)a); therefore,  $NO<sub>2</sub>$  inequalities and city-wide  $O<sub>3</sub>$  air quality are potentially coupled chemically. Briefly, PO<sub>3</sub> increases with increasing  $NO<sub>x</sub>$  when NO is the limiting reagent in  $O<sub>3</sub>$ -forming radical cycling ( $PO_3$  chemistry is  $NO_x$  limited).  $PO_3$  decreases

<span id="page-8-0"></span>

Figure 6. Distinct mean daytime (12−3 pm LT) wind clusters during O<sub>3</sub> season (March–November) over May 2018–November 2022 in the Houston MSA and corresponding TROPOMI NO<sub>2</sub> TVCDs oversampled to  $0.01^{\circ} \times 0.01^{\circ}$ . Wind vector length is proportional to wind speed, with mean wind speeds given in Table 4. The W.A. Parrish Generating Station is indicated with an × and the Houston Ship Channel with a thick black box in cluster 1. The thin inner gray and outer black lines are the UA and MSA boundaries, respectively.

Table 4. Mean Daytime Wind Cluster Characteristics: Number of Days in Each Cluster; MSA-Mean Wind Speed (**±**1*σ*) and Direction; MSA-Level MDA8 O3 NAAQS Exceedances, Both Number and Frequency; UA-Mean NO2**\*** (**±**1*σ*); and Mean Daily TROPOMI Relative and Absolute Inequalities Based on Bootstrapped Distributions Sampled with Replacement 10<sup>4</sup> Times with Uncertainties as 95% Confidence Intervals



with increasing  $NO<sub>x</sub>$  when  $NO<sub>2</sub>$  predominately combines with OH to produce nitric acid, reducing  $O_3$ -forming reactions between OH and VOCs  $(PO_3$  is NO<sub>x</sub> suppressed). This nonlinear chemistry has important regulatory consequences, as  $NO<sub>x</sub>$  decreases improve  $O<sub>3</sub>$  air quality when chemistry is  $NO<sub>x</sub>$ limited, while the same reductions worsen  $NO<sub>x</sub>$ -suppressed  $O<sub>3</sub>$ . When  $PO_3$  dominates the  $O_3$  mass balance, MDA8  $O_3$  varies as the integral of  $PO_3$  across the intraurban  $NO_2$  heterogeneity, and, in Houston, NO*x*-limited and suppressed conditions are both present.<sup>[56](#page-12-0)</sup> Because  $PO_3$  depends nonlinearly on  $NO_2$ , we describe  $O_3$ -season relationships between  $NO_2$  inequalities and the highest daily MSA-level MDA8  $O<sub>3</sub>$  using a generalized additive model (GAM), a regression approach previously

applied to nonlinear systems, including  $O_3$ .<sup>[106](#page-14-0)–[110](#page-14-0)</sup>  $PO_3$  also depends nonlinearly on VOC reactivity to OH, defined as the sum of the product of VOC concentrations and their bimolecular reaction rate with  $OH<sup>111</sup>$  Temperature is a proxy for VOC-OH reactivity where a major portion of VOC emissions are temperature dependent, verifiable through the observed  $O_3$ -N $O_2$  dependence under different temperatures.<sup>[112](#page-14-0)</sup> To consider VOC-OH reactivity, we apply the GAM separately under low (<25°C), moderate (25−28°C), and high (>28°C) daytime mean temperatures conditions. Results informing GAM selection and evaluation are available in the [Supporting](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf) [Information](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf) (SI Appendix 2; Tables S12−13; Figures S8− S16).

<span id="page-9-0"></span>

Figure 7. Analytical model demonstrating relationships between *PO*<sub>3</sub>, NO<sub>2</sub>, and VOC-OH reactivity (a). GAMs of daily MSA-level absolute TROPOMI NO<sub>2</sub> inequalities (molecules cm<sup>−2</sup>) versus highest daily MDA8 O<sub>3</sub> (ppb) during O3-season (March–November 2018–2022) on days meeting coverage thresholds under moderate (purple) and high (orange) daily maximum temperatures for Black and African Americans (b), Hispanics and Latinos (c), and Asians (d). Envelopes are 95% confidence intervals.

GAMs of MDA8  $O_3$  versus NO<sub>2</sub> inequalities reproduce the nonlinear dependence of  $PO_3$  on  $NO_2$  concentrations (Figure 7). The highest MDA8  $O_3$  occur hot days, i.e., under higher VOC-OH reactivity conditions, and when absolute  $NO<sub>2</sub>$ inequalities are large. We observe lower MDA8  $O_3$  when temperatures are moderate (lower VOC-OH reactivity) and  $NO<sub>2</sub>$  inequalities are large, with similar MDA8  $O<sub>3</sub>$  to hot days when  $NO_2$  is more evenly distributed ( $PO_3$  is  $NO_x$  limited). At low temperatures, relationships between MDA8  $O_3$  and  $NO_2$ inequalities suggest a more limited role for *PO*<sub>3</sub> on MDA8 O<sub>3</sub>. A key observation is that the transition between NO*x*-limited and  $NO<sub>x</sub>$ -suppressed  $PO<sub>3</sub>$  chemistry that is near peak MDA8  $O_3$  occurs at higher absolute  $NO_2$  inequalities under higher temperature conditions, consistent with hotter temperatures corresponding to higher VOC-OH reactivities, which in turn require more  $NO<sub>2</sub>$  to drive nitric acid production.<sup>[112](#page-14-0)</sup> While at very high NO concentrations  $O_3$  can be titrated to NO<sub>2</sub>, O<sub>3</sub> titration does not have the same functional form as  $PO_3$  with VOC-OH reactivity versus NO<sub>2</sub>.

The GAMs demonstrate that  $NO<sub>2</sub>$  inequalities affect  $PO<sub>3</sub>$ chemistry and not merely that MDA8  $O_3$  and  $NO_2$  inequalities covary under certain atmospheric conditions. We note, it is not the inequalities per se, but the unequal  $NO<sub>2</sub>$  distributions resulting from NO*<sup>x</sup>* sources being disproportionately located in a subset of neighborhoods that drives *PO*<sub>3</sub>. That said, NO<sub>x</sub> emission sources overburden communities of color because of environmental racism in historical and contemporary decisionmaking. Past research has already shown that  $PO_3$  chemistry is spatially heterogenous within Houston,  $46,49,56,113,114$  $46,49,56,113,114$  $46,49,56,113,114$  and, because PO<sub>3</sub> chemistry is nonlinear, it follows logically that the same NO*<sup>x</sup>* emission reductions applied evenly across a city would be less effective than a series of localized controls responsive to specific  $PO_3$  mechanisms  $NO_x$  limited versus  $NO<sub>x</sub>$  suppressed) as they vary in space. Wang et al.<sup>[58](#page-13-0)</sup> used the adjoint of the Community Multiscale Air Quality model focused on California to determine that  $PO<sub>3</sub>$  is disproportionately sensitive to spatially localized controls. Our work implies that NO*<sup>x</sup>* emissions controls that eliminate neighborhood-level  $NO<sub>2</sub>$  inequalities will have  $O<sub>3</sub>$  air quality co-benefits, with regulatory decision-making consolidating NO*<sup>x</sup>* sources in a subset of Houston neighborhoods hindering  $O<sub>3</sub>$  NAAQS compliance. While MDA8  $O_3$  is largely  $NO_x$  limited with respect to  $NO<sub>2</sub>$  inequalities on high temperature days, MDA8  $O_3$  is more  $NO_x$  suppressed as a function of  $NO_2$  inequalities when temperatures are moderate, meaning even steeper NO*<sup>x</sup>*

reductions that also have the effect of decreasing  $NO<sub>2</sub>$ inequalities are required to lower  $O_3$  under these conditions. Based on observed differences in correlations between absolute and relative  $NO<sub>2</sub>$  inequalities with overall  $NO<sub>2</sub>$  ([Table](#page-7-0) 3), decreases in  $NO<sub>2</sub>$  inequalities, and hence MDA8  $O<sub>3</sub>$ , require locally targeted NO*<sup>x</sup>* reductions in neighborhoods where residents are primarily Black, Latinx, and Asian.

**Implications.** In Houston, daily TROPOMI NO<sub>2</sub> TVCDs capture a major portion of census tract-scale  $NO<sub>2</sub>$  inequalities compared to spatiotemporally coincident GCAS measurements that resolve length scales of dispersion. Mean daily TROPOMI  $NO<sub>2</sub>$  inequalities are insensitive to TROPOMI pixel size after the initial information loss with respect to GCAS. In Houston, and other U.S. cities, communities of color are statistically overburdened by air pollution sources,  $98,115,116$  $98,115,116$  $98,115,116$  $98,115,116$ including  $NO<sub>x</sub>$  sources.<sup>[16](#page-11-0)</sup> This is a consequence of historical (e.g., redlining) and contemporary (e.g., permitting) decisionmaking that clusters emission sources in a subset of city neighborhoods, creating source regions such as the Houston Ship Channel, in combination with historical and contemporary policies and practices causing and reinforcing housing segregation,<sup>[1](#page-11-0)</sup> including white violence, housing discrimination, and separating communities with freeways.[117](#page-15-0)−[121](#page-15-0) When NO*<sup>x</sup>* sources are in close proximity, their individual pollutant decay gradients also spatially aggregate; as a result, a major portion of inequalities persist over spatial scales greater than length scales of dispersion, the physical process motivating the application of very-high spatial resolution models and measurements. Finescale observations are therefore not always required as evidence of air pollution inequalities or to inform related policy making and accountability. While daily TVCDs are coarse (20–89 km<sup>2</sup>), they retain a wider range of  $NO_2$  values, especially in the high tail of the  $NO<sub>2</sub>$  distribution, which drive inequalities. Daily mean  $NO<sub>2</sub>$  TVCDs result in higher, and therefore more accurate, absolute  $NO<sub>2</sub>$  inequalities than oversampled TVCDs  $(0.01^{\circ} \times 0.01^{\circ})$ , as TROPOMI pixels and oversampling grids are large relative to the scale of dispersion. This has relevance to future work based on TEMPO observations, which are not anticipated to meet the pixel overlap requirements for oversampling.

We find that neighborhood-level  $NO<sub>2</sub>$  inequalities and citywide  $O_3$  are coupled air quality issues in Houston. GAMs relating  $NO<sub>2</sub>$  inequalities and MDA8  $O<sub>3</sub>$  under different temperature conditions reproduce established nonlinear relationships between  $PO_{3}$ ,  $NO_{2}$ , and  $VOC-OH$  reactivity.

<span id="page-10-0"></span>This has policy consequences, producing empirical evidence that MDA8  $O_3$  is sensitive to the spatial distribution of  $NO_x$ emissions reductions.  $O_3$  control is typically approached through sector-based NO*<sup>x</sup>* and VOC emissions reductions without also considering distributive inequalities in  $O_3$ precursors[.122](#page-15-0) However, we find that targeted NO*<sup>x</sup>* emissions reductions where NO<sub>x</sub> sources are clustered—in communities of color—would lower both  $NO<sub>2</sub>$  inequalities and city-wide MDA8  $O_3$  in Houston, especially on hot days when MDA8  $O_3$ is highest. This means that permitting and other policies concentrating sources in a subset of Houston neighborhoods affect  $O<sub>3</sub>$  NAAQS attainment and calls for a reconceptualization of decision-making to include facility/emissions location.

While there is growing evidence that locally-targeted regulatory interventions are required to reduce and eliminate air pollution disparities, $41,98$  $41,98$  $41,98$  there are barriers to their adoption, as community-focused air quality plans and recommendations potentially cannot be pursued through policy making at any level.<sup>[123](#page-15-0)</sup> Houston and Pasadena (which is in the Houston UA) are among the few major U.S. municipalities without formal zoning, an established tool for localities to influence their own land use, including air pollution source distribution, through the institution of bans, programs, and environmental review processes.<sup>124</sup> Additionally, Houston's efforts to address air quality concerns through the local ordinance process have been invalidated by the Texas Supreme Court, $125,126$  further limiting the city from regulating emissions from facilities permitted by the Texas Commission on Environmental Quality (TCEQ). TCEQ does not have an office or staff focused on environmental justice, chooses not to use that term (any relevant activities are instead described as Title VI compliance), and continues to issue permits without considering cumulative impacts, including facility clustering. However, TCEQ does have a commitment to  $O_3$  compliance, $127$  making this a politically available pathway for addressing inequality in absence of other approaches. Here, we demonstrate that MDA8  $O_3$  varies as a function of these neighborhood-level  $NO<sub>2</sub>$  inequalities, with locally-targeted  $NO<sub>x</sub>$  emissions controls required to address  $NO<sub>2</sub>$  disparities and having substantial  $O_3$  air quality co-benefits. This conclusion has policy relevance as the state has the authority, resources, and initiative to meet the  $O_3$  NAAQS and is also evidence that TCEQ must contend with practices and policies of environmental racism to improve  $O_3$  air quality.

#### ■ **ASSOCIATED CONTENT**

#### $\bullet$  Supporting Information

The Supporting Information is available free of charge at [https://pubs.acs.org/doi/10.1021/acsestair.4c00009.](https://pubs.acs.org/doi/10.1021/acsestair.4c00009?goto=supporting-info)

> Surface wind roses corresponding to [Figure](#page-1-0) 1, surface monitor locations, detailed TRACER-AQ inequality results, population weighting equation, TROPOMI inequalities as a function of observation coverage and pixel area, comparison of oversampled and timeaveraged inequalities by S-5P orbit, mean daily TROPOMI population-weighted  $NO<sub>2</sub>$ , correlations between daily UA and MSA-level inequalities, details for the comparison between DISCOVER-AQ and TRACER-AQ inequalities, scatterplots of  $NO<sub>2</sub>$  inequalities versus surface winds and  $NO<sub>2</sub>$ <sup>\*</sup>, and technical details on generalized additive model (GAM) con

struction, including comparisons of GAM methods ([PDF](https://pubs.acs.org/doi/suppl/10.1021/acsestair.4c00009/suppl_file/ea4c00009_si_001.pdf))

### ■ **AUTHOR INFORMATION**

#### **Corresponding Author**

Sally E. Pusede − *Department of Environmental Sciences, University of Virginia, Charlottesville, Virginia 22904, United States*; ● [orcid.org/0000-0002-3041-0209](https://orcid.org/0000-0002-3041-0209); Email: [sepusede@virginia.edu](mailto:sepusede@virginia.edu)

#### **Authors**

Isabella M. Dressel − *Department of Environmental Sciences, University of Virginia, Charlottesville, Virginia 22904, United States*; [orcid.org/0000-0002-3796-0641](https://orcid.org/0000-0002-3796-0641)

Sixuan Zhang − *Department of Environmental Sciences, University of Virginia, Charlottesville, Virginia 22904, United States*

- Mary Angelique G. Demetillo − *Department of Environmental Sciences, University of Virginia, Charlottesville, Virginia 22904, United States; NASA Langley Research Center, Hampton, Virginia 23681, United States*; [orcid.org/0000-0002-0618-9022](https://orcid.org/0000-0002-0618-9022)
- Shan Yu − *Department of Statistics, University of Virginia, Charlottesville, Virginia 22904, United States*
- Kimberly Fields − *Carter G. Woodson Institute for African American and African Studies, University of Virginia, Charlottesville, Virginia 22904, United States*
- Laura M. Judd − *NASA Langley Research Center, Hampton, Virginia 23681, United States*
- Caroline R. Nowlan − *Atomic and Molecular Physics Division, Center for Astrophysics | Harvard & Smithsonian, Cambridge, Massachusetts 02138, United States;* [orcid.org/0000-0002-8718-9752](https://orcid.org/0000-0002-8718-9752)
- Kang Sun − *Department of Civil, Structural and Environmental Engineering and Research and Education in eNergy, Environment and Water (RENEW) Institute, University at Buffalo, Buffalo, New York 14260, United States*; [orcid.org/0000-0002-9930-7509](https://orcid.org/0000-0002-9930-7509)
- Alexander Kotsakis − *NASA Goddard Space Flight Center, Greenbelt, Maryland 20771, United States*
- Alexander J. Turner − *Department of Atmospheric Sciences, University of Washington, Seattle, Washington 98195, United States*

Complete contact information is available at: [https://pubs.acs.org/10.1021/acsestair.4c00009](https://pubs.acs.org/doi/10.1021/acsestair.4c00009?ref=pdf)

#### **Notes**

The authors declare no competing financial interest.

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<span id="page-11-0"></span>TROPOMI Level 2  $NO<sub>2</sub>$  TVCDs are accessible from the S-5P Pre-Ops Hub ([scihub.copernicus.eu/\)](scihub.copernicus.eu/). We acknowledge use of the U.S. Census database through the IPUMS National Historical Geographic Information System [\(nhgis.org\)](nhgis.org)<sup>[128](#page-15-0)</sup> and TIGER/Line shapefiles of Texas census tract polygons and UA and MSA boundaries from the Data.gov library [\(census.gov/](census.gov/cgi-bin/geo/shapefiles/index.php) [cgi-bin/geo/shapefiles/index.php\)](census.gov/cgi-bin/geo/shapefiles/index.php).  $NO_2^*$ , MDA8  $O_3$ , and wind speed, wind direction, and temperature datasets were downloaded via the U.S. EPA Air Quality System ([aqs.epa.](aqs.epa.gov/aqsweb/documents/data_api.html) [gov/aqsweb/documents/data\\_api.html](aqs.epa.gov/aqsweb/documents/data_api.html)).

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